

From: Nath.Lostitmonton@fma.govt.nz
To: [REDACTED]
Subject: RE: Query
Date: Friday, 23 August 2024 9:07:25 am

I hope this email finds you well. I refer to your OIA request made on 31 July 2024, and subsequently we received clarification about your request on 2 August 2024. You requested: "I would like to understand how many individuals are registered on the FSPR (even better if broken down by level) and also how many FAPs there are currently."

OIA response

As at 1 August 2024, we have reviewed our records which confirm there are currently a total of **1487** active/approved FAP licenses, broken down by class below.

Class 1 – 438
Class 2 – 991
Class 3 – 58
Total – **1487**

There are **8564** individuals currently registered for the Financial Adviser service on the FSPR and linked to one or more FAP licenses on the FSPR.

You have the right to seek an investigation and review by the Ombudsman of this decision. Information about how to make a complaint is available at www.ombudsman.parliament.nz or freephone 0800 802 602. If you would like to discuss, please let me know.

Kind regards,

Nath