

Financial Markets Conduct (Catalist Investor Terms and Conditions) Approval of Rule Change Notice 2/2025

Pursuant to section 332(1) of the Financial Markets Conduct Act 2013, the Financial Markets Authority gives the following notice.

Contents

		Page
1	Title	1
2	Commencement	1
3	Interpretation	1
4	Approval of market rules	2

Notice

1 Title

This notice is the Financial Markets Conduct (Catalist Investor Terms and Conditions) Approval of Rule Change Notice 2/2025.

2 Commencement

This notice comes into force on 31 January 2025.

3 Interpretation

(1) In this notice, unless the context otherwise requires, —

Act means the Financial Markets Conduct Act 2013

Catalist means Catalist Markets Limited

FMA means the Financial Markets Authority

Regulations means the Financial Markets Conduct (Catalist Public Market) Regulations 2021

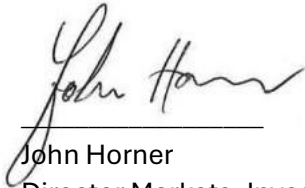
Rules means the Investor Terms and Conditions, Parts A and B, approved as business rules for Catalist Public Market.

(2) Any term or expression that is defined in the Act and used, but not defined, in this notice has the same meaning as in the Act or the Regulations.

4 Approval of market rules

Pursuant to section 331 of the Act, the Financial Markets Authority approves changes to the Rules set out in the application for approval provided by Catalist to the FMA dated 25 October 2024 and information subsequently provided to the FMA in connection with the application.

Dated at Wellington this 29th day of January 2025.



John Horner
Director Markets, Investors and Reporting
Financial Markets Authority

Explanatory note

This note is not part of the notice but is intended to indicate its general effect.

This notice approves amendments to the Rules of which the material changes are:

- certain changes to defined terms,
- moving references to private market services and registry services out of the Rules as they were not intended be captured by the market rules for purposes of the requirements under section 327 of the Act (but retaining them within the overall Investor Terms and Conditions),
- amendments to further specify the obligations and responsibilities joint, company and trust account holders have with regard to trading activity on the Catalist Public Market.

The amended Rules will become effective on a date as determined by Catalist in accordance with the Rules.